

**Part 2B of Form ADV: *Brochure Supplement***

K. Robert J. Batchelor

300 N. Corporate Dr. Suite 220  
Brookfield, WI 53045  
Telephone: 262-754-5292

Entasis Asset Management LLC

300 N. Corporate Dr. Suite 220  
Brookfield, WI 53045

Telephone: 262-754-5299  
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March 31, 2017

This brochure supplement provides information about K. Robert J. Batchelor that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact K. Robert J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about K. Robert J. Batchelor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or [www.brokercheck.finra.org](http://www.brokercheck.finra.org).



candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

**Item 3: Disciplinary Information**

K. Robert J. Batchelor has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Clients may wish to review and consider information contained therein.

**Item 4: Other Business Activities**

K. Robert J. Batchelor is not engaged in any other investment-related activities. K. Robert J. Batchelor does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

K. Robert J. Batchelor manages CSC Investments LLC, which is a business entity that was developed by Mr. Batchelor to manage his personal real estate investments. His involvement with the business is less than 5-10 hours per month, generally outside of normal securities trading hours. He has been involved with the business since it was formed April 2015.

**Item 5: Additional Compensation**

K. Robert J. Batchelor may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. K. Robert J. Batchelor receives no other economic benefit from a non-advisory client for the provision of advisory services.

**Item 6: Supervision**

K. Robert J. Batchelor is supervised by himself. He is also the Chief Compliance Officer. He can be reached at [Bob@EntasisAM.com](mailto:Bob@EntasisAM.com).

Supervision is done so in the following manner:

- General oversight of daily activities;
- Post review of all trades executed in client accounts;
- Adherence to the firm's policies and procedures;
- Providing of standardized quarterly and annual client reviews;
- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

**Item 7: Requirements for State-Registered Advisors**

There have been neither arbitration awards nor any awards where K. Robert J. Batchelor has been found liable in any civil, self-regulatory or administrative proceeding. K. Robert J. Batchelor has not been the subject of any bankruptcy petition.

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Charles J. Batchelor

300 N. Corporate Dr. Suite 220  
Brookfield, WI 53045  
Telephone: 262-754-5293

Entasis Asset Management LLC

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Brookfield, WI 53045

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Web Address: [www.EntasisAM.com](http://www.EntasisAM.com)

March 31, 2017

This brochure supplement provides information about Charles J. Batchelor that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact Charles J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about Charles J. Batchelor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or [www.brokercheck.finra.org](http://www.brokercheck.finra.org).

**Item 2: Educational, Background and Business Experience**

**Full Legal Name:** Charles J. Batchelor

**Birth Year:** 1980

**Education:** B.B.A. – University of Wisconsin-Milwaukee

**Business Experience:** Mr. Batchelor has 14 years of experience in the investment industry.

**Entasis Asset Management LLC** May 2016 to Present

**Cleary Gull** July 2004-April 2016

Director of Investment Research  
Investment Policy Committee – Voting Member  
Investment Committee – Voting Member  
Equity Strategy Group – Voting Member  
Senior Research Analyst  
Research Analyst

**Strong Capital Management** May 2002-December 2003

Investment Research and Communication – Internship  
Managed Account Services – Internship

**Designations:**

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals. To earn the CFA charter, Charles J. Batchelor: (1) passed three sequential, six hour examinations; (2) had at least four years of qualified professional investment experience; (3) joined CFA Institute as a member; and (4) committed to abide by, and annually reaffirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires Charles J. Batchelor to place his clients' interests ahead of his own, maintain independence and objectivity, act with integrity, maintain and improve his professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

**Item 3: Disciplinary Information**

Charles J. Batchelor has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Clients may wish to review and consider information contained therein.

**Item 4: Other Business Activities**

Charles J. Batchelor is not engaged in any other investment-related activities. Charles J. Batchelor does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Item 5: Additional Compensation**

Charles J. Batchelor may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. Charles J. Batchelor receives no other economic benefit from a non-advisory client for the provision of advisory services.

**Item 6: Supervision**

Charles J. Batchelor is supervised by K. Robert J. Batchelor. K. Robert J. Batchelor is the Chief Compliance Officer and can be reached at [Bob@EntasisAM.com](mailto:Bob@EntasisAM.com).

Supervision is done so in the following manner:

- General oversight of daily activities;
- Post review of all trades executed in client accounts;
- Adherence to the firm's policies and procedures;
- Providing of standardized quarterly and annual client reviews;
- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

**Item 7: Requirements for State-Registered Advisors**

There have been neither arbitration awards nor any awards where Charles J. Batchelor has been found liable in any civil, self-regulatory or administrative proceeding. Charles J. Batchelor has not been the subject of any bankruptcy petition.

**Part 2B of Form ADV: *Brochure Supplement***

Michael J. Peters

300 N. Corporate Dr. Suite 220  
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Telephone: 262-754-5294

Entasis Asset Management LLC

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Web Address: [www.EntasisAM.com](http://www.EntasisAM.com)

March 31, 2017

This brochure supplement provides information about Michael J. Peters that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact K. Robert J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about Michael J. Peters is available on the SEC's website at <http://www.adviserinfo.sec.gov/> or [www.brokercheck.finra.org](http://www.brokercheck.finra.org).

**Item 2: Educational, Background and Business Experience**

**Full Legal Name:** Michael J. Peters

**Birth Year:** 1981

**Education:** B.B.A. – University of Wisconsin-Milwaukee

**Business Experience:** Mr. Peters has 14 years of experience in the investment industry.

**Entasis Asset Management LLC** May 2016 to the present

**Cleary Gull Advisors:** June 2010- April 2016

Fixed Income Portfolio Manager

Voting Member of Complements Strategy Group

Voting Member of Fixed Income Strategy Group

Fixed Income Analyst

**Madison Investment Advisors** April 2006 - June 2010

Member of Credit Research Team

Fixed Income Analyst

Fixed Income Trader

**CUNA Mutual Insurance (Members Capital Advisors)** September 2004-April 2006

Investment Operations Analyst

**Strong Capital Management** April 2002-April 2004

Trade Settlement Specialist

**Designations:**

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals. To earn the CFA charter, Michael J. Peters: (1) passed three sequential, six hour examinations; (2) had at least four years of qualified professional investment experience; (3) joined CFA Institute as a member; and (4) committed to abide by, and annually reaffirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires Michael J. Peters to place his clients' interests ahead of his own, maintain independence and objectivity, act with integrity, maintain and improve his professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

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**Item 3: Disciplinary Information**

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**Item 4: Other Business Activities**

Michael J. Peters is not engaged in any other investment-related activities. Michael J. Peters does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Item 5: Additional Compensation**

Michael J. Peters may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. Michael J. Peters receives no other economic benefit from a non-advisory client for the provision of advisory services.

**Item 6: Supervision**

Michael J. Peters is supervised by K. Robert Batchelor who serves as the firm's Chief Compliance Officer. Mr. Batchelor can be reached at [Bob@EntasisAM.com](mailto:Bob@EntasisAM.com).

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- Completion and adherence to investment policy statements for each client.

**Item 7: Requirements for State-Registered Advisors**

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