

Part 2B of Form ADV: *Brochure Supplement*

K. Robert J. Batchelor

300 N. Corporate Dr. Suite 220
Brookfield, WI 53045
Telephone: 262-754-5292

Entasis Asset Management LLC

300 N. Corporate Dr. Suite 220
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Web Address: www.EntasisAM.com

March 30, 2021

This brochure supplement provides information about K. Robert J. Batchelor that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact K. Robert J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about K. Robert J. Batchelor is available on the SEC's website at www.adviserinfo.sec.gov or www.brokercheck.finra.org.

Item 2: Educational, Background and Business Experience

Full Legal Name: K. Robert J. Batchelor

Birth Year: 1974

Education: B.B.A. – University of Wisconsin-Madison
M.B.A – Marquette University

Business Experience: Mr. Batchelor has 23 years of experience in the investment industry.

Entasis Asset Management LLC January 2016 to the present

Chief Executive Officer
Chief Compliance Officer

Artisan Partners April 2003 to March 2015

Head of Corporate Communications
Member of Executive Committee
Managing Director, Head of Marketing and Technology
Managing Director, Head of Marketing and Communications
Director, Marketing and Communications
Director, Investment Communications

Strong Capital Management June 1996 to March 2003

Client Account Manager
Director of Investment Research and Communication
Investor Services Representative

Designations:

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals. To earn the CFA charter, K. Robert J. Batchelor: (1) passed three sequential, six hour examinations; (2) had at least four years of qualified professional investment experience; (3) joined CFA Institute as a member; and (4) committed to abide by, and annually reaffirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires K. Robert J. Batchelor to place his clients' interests ahead of his own, maintain independence and objectivity, act with integrity, maintain and improve his professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management

skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

CERTIFIED FINANCIAL PLANNER™

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3: Disciplinary Information

K. Robert J. Batchelor has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at www.adviserinfo.sec.gov. Clients may wish to review and consider information contained therein.

Item 4: Other Business Activities

K. Robert J. Batchelor is not engaged in any other investment-related activities. K. Robert J. Batchelor does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Item 5: Additional Compensation

K. Robert J. Batchelor may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. K. Robert J. Batchelor receives no other economic benefit from a non-advisory client for the provision of advisory services.

Item 6: Supervision

K. Robert J. Batchelor is supervised by himself. He is also the Chief Compliance Officer. He can be reached at Bob@EntasisAM.com.

Supervision is done so in the following manner:

- General oversight of daily activities;
- Post review of all trades executed in client accounts;
- Adherence to the firm's policies and procedures;
- Providing of standardized quarterly and annual client reviews;
- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any awards where K. Robert J. Batchelor has been found liable in any civil, self-regulatory or administrative proceeding. K. Robert J. Batchelor has not been the subject of any bankruptcy petition.

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Charles J. Batchelor

300 N. Corporate Dr. Suite 220
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Entasis Asset Management LLC

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Web Address: www.EntasisAM.com

March 30, 2021

This brochure supplement provides information about Charles J. Batchelor that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact Charles J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about Charles J. Batchelor is available on the SEC's website at www.adviserinfo.sec.gov or www.brokercheck.finra.org.

Item 2: Educational, Background and Business Experience

Full Legal Name: Charles J. Batchelor

Birth Year: 1980

Education: B.B.A. – University of Wisconsin-Milwaukee

Business Experience: Mr. Batchelor has 18 years of experience in the investment industry.

Entasis Asset Management LLC May 2016 to Present

Chief Investment Officer – Equity

Cleary Gull July 2004-April 2016

Director of Investment Research
Investment Policy Committee – Voting Member
Investment Committee – Voting Member
Equity Strategy Group – Voting Member
Senior Research Analyst
Research Analyst

Strong Capital Management May 2002-December 2003

Investment Research and Communication – Internship
Managed Account Services – Internship

Designations:

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals. To earn the CFA charter, Charles J. Batchelor: (1) passed three sequential, six hour examinations; (2) had at least four years of qualified professional investment experience; (3) joined CFA Institute as a member; and (4) committed to abide by, and annually reaffirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires Charles J. Batchelor to place his clients' interests ahead of his own, maintain independence and objectivity, act with integrity, maintain and improve his professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Item 3: Disciplinary Information

Charles J. Batchelor has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at www.adviserinfo.sec.gov. Clients may wish to review and consider information contained therein.

Item 4: Other Business Activities

Charles J. Batchelor is not engaged in any other investment-related activities. Charles J. Batchelor does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Item 5: Additional Compensation

Charles J. Batchelor may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. Charles J. Batchelor receives no other economic benefit from a non-advisory client for the provision of advisory services.

Item 6: Supervision

Charles J. Batchelor is supervised by K. Robert J. Batchelor. K. Robert J. Batchelor is the Chief Compliance Officer and can be reached at Bob@EntasisAM.com.

Supervision is done so in the following manner:

- General oversight of daily activities;
- Post review of all trades executed in client accounts;
- Adherence to the firm's policies and procedures;
- Providing of standardized quarterly and annual client reviews;
- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any awards where Charles J. Batchelor has been found liable in any civil, self-regulatory or administrative proceeding. Charles J. Batchelor has not been the subject of any bankruptcy petition.

Part 2B of Form ADV: *Brochure Supplement*

Michael J. Peters

300 N. Corporate Dr. Suite 220
Brookfield, WI 53045
Telephone: 262-754-5294

Entasis Asset Management LLC

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March 30, 2021

This brochure supplement provides information about Michael J. Peters that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact K. Robert J. Batchelor if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about Michael J. Peters is available on the SEC's website at <http://www.adviserinfo.sec.gov/> or www.brokercheck.finra.org.

Item 2: Educational, Background and Business Experience

Full Legal Name: Michael J. Peters

Birth Year: 1981

Education: B.B.A. – University of Wisconsin-Milwaukee

Business Experience: Mr. Peters has 18 years of experience in the investment industry.

Entasis Asset Management LLC May 2016 to the present

Chief Investment Officer – Fixed Income

Cleary Gull Advisors: June 2010- April 2016

Fixed Income Portfolio Manager
Voting Member of Complements Strategy Group
Voting Member of Fixed Income Strategy Group
Fixed Income Analyst

Madison Investment Advisors April 2006 - June 2010

Member of Credit Research Team
Fixed Income Analyst
Fixed Income Trader

CUNA Mutual Insurance (Members Capital Advisors) September 2004-April 2006

Investment Operations Analyst

Strong Capital Management April 2002-April 2004

Trade Settlement Specialist

Designations:

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals. To earn the CFA charter, Michael J. Peters: (1) passed three sequential, six hour examinations; (2) had at least four years of qualified professional investment experience; (3) joined CFA Institute as a member; and (4) committed to abide by, and annually reaffirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires Michael J. Peters to place his clients' interests ahead of his own, maintain independence and objectivity, act with integrity, maintain and improve his professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Item 3: Disciplinary Information

Michael J. Peters has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at www.adviserinfo.sec.gov. Clients may wish to review and consider information contained therein.

Item 4: Other Business Activities

Michael J. Peters is not engaged in any other investment-related activities. Michael J. Peters does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Item 5: Additional Compensation

Michael J. Peters may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. Michael J. Peters receives no other economic benefit from a non-advisory client for the provision of advisory services.

Item 6: Supervision

Michael J. Peters is supervised by K. Robert Batchelor who serves as the firm's Chief Compliance Officer. Mr. Batchelor can be reached at Bob@EntasisAM.com.

Supervision is done so in the following manner:

- General oversight of daily activities;
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- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any awards where Michael J. Peters has been found liable in any civil, self-regulatory or administrative proceeding. Michael J. Peters has not been the subject of any bankruptcy petition.

Part 2B of Form ADV: *Brochure Supplement*

David D. LaCroix

300 N. Corporate Dr. Suite 220
Brookfield, WI 53045
Telephone: 262-754-5295

Entasis Asset Management LLC

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Web Address: www.EntasisAM.com

March 30, 2021

This brochure supplement provides information about David D. LaCroix that supplements the brochure of Entasis Asset Management LLC. You should have received a copy of that brochure. Please contact David D. LaCroix if you did not receive that brochure, or if you have any questions about the contents of this supplement.

Additional information about David D. LaCroix is available on the SEC's website at www.adviserinfo.sec.gov or www.brokercheck.finra.org.

Item 2: Educational, Background and Business Experience

Full Legal Name: David D. LaCroix

Birth Year: 1942

Education: B.B.A. – University of Wisconsin-Madison
M.B.A. – University of Wisconsin-Madison

Business Experience: Mr. LaCroix has 50 years of experience in the investment industry.

Entasis Asset Management LLC **January 2018 to Present**

Senior Financial Advisor

Cleary Gull Advisors Inc. **May 2016-December 2017**

Vice President, Relationship Manager for the firm's Private Client Group

Cleary Gull Inc. **May 2002-May 2016**

Vice President, Relationship Manager for the firm's Private Client Group

AG Edwards **March 1997-May 2002**

Financial Advisor

Capital Investment Services of America **September 1996-March 1997**

Vice President

M&I Brokerage Services, Inc. **January 1996-August 1996**

Vice President

M&I Capital Markets Group, Inc. **December 1989-December 1995**

Vice President

M&I Marshall & Ilsley Bank **December 1970-December 1989**

Vice President

Designations:

None.

Item 3: Disciplinary Information

David D. LaCroix has no reportable disciplinary information. Information regarding advisor representative may be found by accessing the SEC's public disclosure site at www.adviserinfo.sec.gov. Clients may wish to review and consider information contained therein.

Item 4: Other Business Activities

David D. LaCroix is not engaged in any other investment-related activities. David D. LaCroix does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Item 5: Additional Compensation

David D. LaCroix may receive non-cash compensation in the form of business meals, tickets to a sporting event, and/or training or educational events from the independent third-party custodian and/or broker-dealer recommended by Entasis Asset Management LLC to its clients. Such compensation is not tied to the sales of any products or services. This compensation has minimal value. David D. LaCroix receives no other economic benefit from a non-advisory client for the provision of advisory services.

Item 6: Supervision

David D. LaCroix is supervised by K. Robert J. Batchelor. K. Robert J. Batchelor is the Chief Compliance Officer and can be reached at Bob@EntasisAM.com.

Supervision is done so in the following manner:

- General oversight of daily activities;
- Post review of all trades executed in client accounts;
- Adherence to the firm's policies and procedures;
- Providing of standardized quarterly and annual client reviews;
- Adherence to the firm's standards for client meetings;
- Pre-approval of materials provided to clients;
- Completion of various compliance forms including: Quarterly Personal Securities Transactions, Annual Holdings Reports, Annual Attestation of the company's Policies and Procedures Manual, and Code of Ethics and Employee Questionnaire;
- Detailed notes of all client communication in the company's contact management database; and
- Completion and adherence to investment policy statements for each client.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any awards where David D. LaCroix has been found liable in any civil, self-regulatory or administrative proceeding. David D. LaCroix has not been the subject of any bankruptcy petition.